

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.
62545-024

In Re Application Of: E. K. Gustafson and A. A. Godil

Serial No.
09/900,753Filing Date
07/06/2001Examiner
not yet knownGroup Art Unit
2877

Title: DYNAMIC GAIN AND CHANNEL EQUALIZERS

#8
LAS
KOPM
2/13/02Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☒ a certification as specified in 37 CFR 1.97(e);


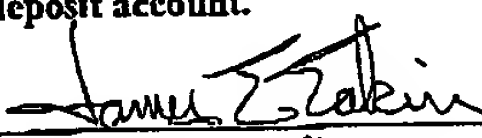
OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 62545-024
In Re Application Of: E. K. Gustafson and A. A. Godil			
Serial No. 09/900,753	Filing Date 07/06/2001	Examiner not yet known	Group Art Unit 2877
Title: DYNAMIC SPECTRAL SHAPING FOR FIBER-OPTIC APPLICATION			
Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))			
<input type="checkbox"/> A check in the amount of _____ is attached. <input type="checkbox"/> The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed. <input type="checkbox"/> Charge the amount of _____ <input type="checkbox"/> Credit any overpayment. <input type="checkbox"/> Charge any additional fee required.			
Certificate of Transmission by Facsimile*		Certificate of Mailing by First Class Mail	
<div style="border: 1px solid black; padding: 5px;"><p>I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. 703 308-7382) on <u>2/4/02</u> (Date)  _____ Signature <u>R. Kait McLendon Kent</u> _____ Typed or Printed Name of Person Signing Certificate</p></div>		<div style="border: 1px solid black; padding: 5px;"><p>I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231. _____ Signature of Person Mailing Correspondence _____ Typed or Printed Name of Person Mailing Correspondence</p></div>	
<p>*This certificate may only be used if paying by deposit account.</p> <div style="display: flex; justify-content: space-between;"><div style="width: 40%;"> _____ Signature</div><div style="width: 40%; text-align: right;">Dated: <u>1 FEB 2002</u></div></div>			
<p>James E. Eakin Reg. NO. 27,874 McDermott, Will & Emery 2700 Sand Hill Road Menlo Park, CA 94025</p>			
CC:			

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STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENTDocket No.
62545-024

In Re Application Of: E. K. Gustafson and A. A. Godil

Serial No.
09/900,753Filing Date
07/06/2001Examiner
not yet knownGroup Art Unit
2877

Invention: DYNAMIC GAIN AND CHANNEL EQUALIZERS

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

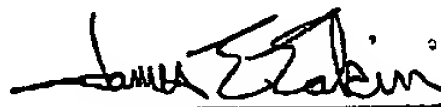
Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☐ Each item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Statement Under 37 CFR 1.97(e)(2)

- ☒ No item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.


Signature

Dated: 1. FEB 2002

James E. Eakin
Reg. No. 27,874
McDermott, Will & Emery
2700 Sand Hill Road
Menlo Park, CA 94025I certify that this document and authorization to charge
deposit account is being facsimile transmitted to the United
States Patent and Trademark Office (Fax No. (703) 308-7382)
on 2/4/02
(Date)
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R. Kait McLendon Kent

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